

GENERAL DATA PROTECTION REGULATION NOTICE

Thank you for visiting the D. E. Shaw group's website and viewing this General Data Protection Regulation Notice (this "GDPR Notice"). When we collect personal information from individuals located in the European Union ("EU"), Switzerland, or the United Kingdom ("UK"), those individuals have rights under the General Data Protection Regulation ("GDPR"). This GDPR Notice supplements our [Privacy Statement](#) and is used to allow us to fulfill our GDPR obligations and explain your GDPR rights. For purposes of this section, the words "you" and "your" mean only such individuals located in the EU, Switzerland, or the UK. Certain terms used in this GDPR Notice have the meaning given to them in the Privacy Statement.

This GDPR Notice is effective June 22, 2020.

Our Collection, Use, and Sharing Practices

Please review the section our [Privacy Statement](#) – "What Information Are We Collecting and How Are We Using It?" to understand the scope of purposes and the sources from which we collect personal information. Similarly, you can find information about the categories of third parties with which we may share your personal information and why we share it in the "Sharing Information with Others: Who and Why" section. We do not use your personal information for automated decision making, including profiling.

If you are an investor in our funds, click [here](#) to view our European Investor Personal Data Privacy Notice to learn more about our collection and use practices specific to investors.

If you have applied for an open position with us, click [here](#) to view our European Recruitment Personal Data Privacy Notice to learn more about our collection and use practices specific to job applicants.

Legal Basis for Processing Your Personal Information

Our legal basis for collecting and using your personal information will depend on the personal information concerned and the specific context in which we collect it. However, we will normally collect personal information where the processing is in our legitimate interests and not overridden by your data protection interests or fundamental rights and freedoms, such as to maintain and secure our website or enhance your experience on our website. In certain circumstances where our legal basis of processing is for performance of a contract with you, please be aware that, in those circumstances, where you do not provide personal information which is required by us, we may not be able to provide the products and services under our contract with you or may not be able to comply with a legal obligation on us. In some cases, we may also have a legal obligation to collect personal information from you or may otherwise need the personal information to protect your vital interests or those of another person. If we happen to obtain your consent to process your personal information, you may withdraw your consent at any time.

Your Rights Under the GDPR

You have a right to:

- request access to and rectification or erasure of your personal information;
- obtain restriction of processing or object to processing of your personal information;
- ask that a copy of your personal information to be provided to you, or a third party, in a digital format; and
- lodge a complaint about the processing of your personal information with your local data protection authority.

If you would like to exercise any of these rights, please contact us at privacy@deshaw.com. If you make a verifiable request in accordance with the above, we will confirm our receipt and respond to your request in the time frames prescribed by the GDPR. You will also need to follow our instructions for identity verification as we may require additional information from you before we act on your request.

Our Retention of your Personal Information

We will retain your personal information collected via the website in accordance with our internal data retention procedures. The criteria used to determine the retention periods include: (i) how long the personal

information is needed to provide the services and operate the business; (ii) the type of personal information collected; and (iii) whether we are subject to a legal, contractual, or similar obligation to retain the personal information (e.g., mandatory data retention laws, government orders to preserve data relevant to an investigation, or data that must be retained for the purposes of litigation or disputes).

International Transfers

We may disclose your personal information for the purposes described herein to recipients (including affiliates) located in countries outside of the European Economic Area (“**EEA**”), Switzerland, and the UK, including in the U.S., which may not have data protection laws equivalent to those in the EEA, Switzerland, and/or the UK. We have entered into intra-group EU standard contractual clauses (“**Model Contracts**”) for transfers of personal information within the D. E. Shaw group. Where we transfer personal information to other recipients outside of the EEA, Switzerland, and/or the UK, we will enter into a Model Contract with the recipient or seek assurances from the recipient that it is EU/Swiss-U.S. Privacy Shield certified or has Binding Corporate Rules in place. You can request further details in relation to international transfers, including a copy of the Model Contracts, by contacting us at privacy@deshaw.com.

Updates to this GDPR Notice

We reserve the right to update this GDPR Notice from time to time. Please check our website periodically for such updates since all information collected as described herein is subject to the GDPR Notice in place at the time of collection. Typically, we will indicate the effective/amendment date at the beginning of this GDPR Notice. If we feel it is appropriate, or if the law requires, we'll also provide a summary of changes we've made in the new GDPR Notice.