

EU Investor Personal Data Privacy Notice

This privacy notice is applicable to EU natural person investors in a D. E. Shaw group fund (“you”). The purpose of this personal data privacy notice is to inform you about how your personal data (i.e., information which directly or indirectly identifies you) is processed by D. E. Shaw & Co., L.P. when you invest in a D. E. Shaw group fund. Under European data protection laws, D. E. Shaw & Co., L.P. and, in certain cases, D. E. Shaw & Co. (London), LLP (collectively, “we”, “us”, “our”) are considered the data controllers of any personal data you provide to us in that context. D. E. Shaw & Co., L.P. has designated D. E. Shaw & Co. (London), LLP as its representative in the European Union. D. E. Shaw & Co. (London), L.L.C. is located at 55 Baker Street, Seventh Floor, London W1U 8EW, UK, and can be reached by emailing GDPRenquiries@deshaw.com.

What information do we collect?

We may collect some or all of the following information about you:

- Your name, address, and contact details, including email address and telephone number;
- Your passport details or details of any other government-issued document evidencing your nationality or residence and including your name, picture, signature, country of issuance and number;
- Verification of your residential address as determined by you, which may take the form of a utility bill or other correspondence;
- Details of your net worth and/or source(s) of wealth;
- Your bank account details; and,
- Any other personal data that you voluntarily provide to us.

We may also collect personal data about you from third parties, such as your investment adviser, family office representative, or other person designated by you to manage your investments, and third party data services.

Why do we process your personal data?

The provision of personal data by you and the processing of such personal data by us is necessary (a) in order for us to review and process your subscription agreement and supporting materials in connection with your investment in a D. E. Shaw group fund; (b) where in our legitimate interests, to administer and manage investments and communications with you and to administer, manage, and improve our fundraising operations; and (c) to fulfil our legal and regulatory obligations (e.g., when we are required to conduct “Know Your Customer” checks). When we conduct “Know Your Customer” and related anti-money laundering and sanctions law checks, we use third party data services. Those services access information published by government authorities, as well as information available both in the public domain and from proprietary news and related sources. That information may or may not identify you. If it does, it could involve the processing of criminal records or other sensitive data. We will process all data, whether obtained from you or third parties, for the limited purpose described above and as authorized or required by law.

Failure to provide personal data in whole or in part could make it impossible for us to proceed with your application to invest in a D. E. Shaw group fund.

We will not use your personal data for purposes unrelated to the foregoing, such as direct marketing of third-party products to you, without your consent.

Please note that you have a right to object to the processing of your personal data where that processing is carried out for our legitimate interests.

Who has access to your personal data?

We may disclose your personal data for the purposes described above to the following recipients: (i) your service providers; (ii) our affiliates, service providers (such as transfer agents, fund administrators, custodians, and broker-dealers), and advisors (such as accountants and legal counsel); (iii) fraud prevention agencies and law enforcement agencies; (iv) courts, governmental and non-governmental regulators, and ombudsmen; (v) any third party that acquires, or is interested in acquiring or securitizing, all or part of our assets, shares, partnership interests, and/or membership interests, or that succeeds us in carrying on all or a part of our businesses, whether by merger, acquisition, reorganization, or otherwise; (vi) as required or permitted by law, including to comply with a subpoena or similar legal, regulatory, or governmental request or process, or (vii) when we have a legitimate interest in making a disclosure, such as where necessary to protect our rights and property.

We may disclose your personal data for the purposes described above to recipients (including affiliates) located in countries outside of the European Economic Area (“**EEA**”), including in the United States, which may not have data protection laws equivalent to those in the EEA.

How do I consent to the transfer of my personal data?

By signing the subscription agreement with respect to your investment in a D. E. Shaw group fund, or by continuing to provide us with personal data, you consent to the transfer of your personal data to recipients, as described in this privacy notice, located outside the EEA. You may withdraw that consent at any time. The withdrawal of consent shall not impact the lawfulness of prior processing based on consent before its withdrawal.

For how long do we keep your data?

We will retain your data for investor relations-related activities, including administering investment accounts and for organizing events with investors, in accordance with our internal data retention procedures. The criteria used to determine the retention periods include: (i) how long the personal data is needed in connection with the investor relations-related activities; (ii) the type of personal data collected; and (iii) whether we are subject to a legal, contractual or similar obligation to retain the data (e.g., mandatory data retention laws, government orders to preserve data relevant to an investigation, or data that must be retained for the purposes of litigation or disputes). If you would like more information about applicable data retention policies, please email GDPRenquiries@deshaw.com.

Your rights

You have a right to:

- request access to and rectification or erasure of your personal data;
- obtain restriction of processing or object to processing of your personal data;
- ask for a copy of your personal data to be provided to you, or a third party, in a digital format; and
- lodge a complaint about the processing of your personal data with your local data protection authority.

If you would like to exercise any of these rights, please contact GDPRenquiries@deshaw.com.

We periodically review and may modify this notice. If we make modifications, we will continue our commitment to keeping your personal information secure.

Enquiries, requests, or concerns

All enquiries, requests, or concerns regarding this privacy notice or relating to the processing of your personal data, including all requests as detailed in the "Your rights" section above, should be sent to GDPRenquiries@deshaw.com.