

Cayman Investor Privacy Notice

Effective Date: June 16, 2025

The purpose of this personal data privacy notice is to inform you about how your personal data (i.e., information which directly or indirectly identifies you) is processed by us in accordance with the Data Protection Act (2021 Revision) (the “**DPA**”).

In this document, “**we**”, “**us**” and “**our**” refers to a D. E. Shaw group fund (the “**Fund**”), D. E. Shaw & Co., L.P. (the “**Investment Manager**”) and its or their affiliates and/or delegates.

1. What categories of personal data do we collect about you?

By virtue of making an investment in the Fund and your associated interactions with us (including any subscription (whether past, present or future), including the recording of electronic communications or phone calls where applicable) or by virtue of you otherwise providing us with personal information on individuals connected with you as an investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), you will provide us with certain personal information which constitutes personal data within the meaning of the DPA (“**Investor Data**”). We may also obtain Investor Data from other public sources and third-party data services. Investor Data includes, without limitation, the following information relating to you and/or any individuals connected with you as an investor:

- name, residential address and documentation for verification thereof, email address, contact details, corporate contact information;
- signature, nationality, place of birth, date of birth, tax identification, credit history correspondence records, passport number or other government-issued identifier, occupation or nature of business;
- bank account details, source of funds details and details relating to your investment activity;
- status as a politically exposed person or relationship thereto;
- information collected about criminal convictions or offences, or government sanctions, in the course of conducting a “Know Your Customer” check;
- information about your interactions with our websites and online services (including www.deshaw.com and the secure investor section thereof), which may include your network domain or IP address;
- any other information that you voluntarily provide to us.

In our use of Investor Data, the Fund will be characterised as a “data controller” for the purposes of the DPA. The Fund's affiliates and delegates may act as “data processors” for the purposes of the DPA.

If you are a natural person, this will affect you directly. If you are a corporate investor (including, for these purposes, legal arrangements such as trusts or exempted limited partnerships) that provides us with Investor Data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content.

2. What is your personal data used for?

The Fund, as the data controller, may collect, store and use Investor Data for lawful purposes, including, in particular:

- (i) where this is necessary for the performance of our rights and obligations under the Subscription Agreement and/or the constitutional and operational documents of the Fund;
- (ii) where this is necessary for compliance with a legal and regulatory obligation to which the Fund is subject (such as compliance with anti-money laundering and FATCA/CRS requirements); and/or
- (iii) where this is necessary for the purposes of our legitimate interests and such interests are not overridden by your interests, fundamental rights or freedoms.

Additionally, Citco Fund Services (Cayman Islands) Limited (the “**Administrator**”), may use Investor Data, for example to provide its services to the Fund or to discharge the legal or regulatory requirements that apply directly to it or in respect of which the Fund relies upon the Administrator, but such use of Investor Data by the Administrator will always be compatible with at least one of the aforementioned purposes for which we process Investor Data.

We may also process your personal data using artificial intelligence tools to improve the efficiency and speed of our business processes and in accordance with law.

Further explanation regarding how the Administrator may handle Investor Data is set out in its privacy notice, which is available at [Citco Privacy Statement](#). We are not responsible for the content or privacy practices of any third-party websites or platforms.

Should we wish to use Investor Data for other specific purposes (including, if applicable, any purpose that requires your consent), we will contact you.

3. To whom do we disclose your personal data?

In certain circumstances we and/or our authorised affiliates or delegates may be legally obliged to, or believe in good faith that we are legally obligated to or have a legitimate interest to, share Investor Data and other information with respect to your interest in the Fund with the relevant local or foreign regulatory authorities such as the Cayman Islands Monetary Authority or the Tax Information Authority. They, in turn, may exchange this information with foreign authorities, including tax authorities.

We anticipate disclosing Investor Data to parties who provide services to the Fund and their respective affiliates (which may include certain entities located outside the Cayman Islands or the European Economic Area). Such parties, without limitation, include the following categories of

service provider who may either process personal data on our behalf or for their own lawful purposes in connection with services provided to the Fund:

- (i) the Administrator;
- (ii) registered office providers; and
- (iii) lenders and financing providers to the Fund.

4. What data protection measures do we take?

Any transfer of Investor Data by us or our duly authorised affiliates and/or delegates outside of the Cayman Islands shall be in accordance with the requirements of the DPA.

We and our duly authorised affiliates and/or delegates shall apply appropriate technical and organisational information security measures designed to protect against unauthorised or unlawful processing of Investor Data, and against accidental loss or destruction of, or damage to, Investor Data.

We shall notify you of any Investor Data breach that is reasonably likely to result in a risk to the interests, fundamental rights or freedoms of either you or those data subjects to whom the relevant Investor Data relates.

5. Changes to this privacy notice

The effective date on this notice indicates the date on which this notice was last updated. We periodically will review and may modify this privacy notice. We will notify you of any material changes.

6. Contact Us

Should you have any queries or wish to discuss your data protection rights with us, please contact privacy@deshaw.com.