

CALIFORNIA CONSUMER PRIVACY ACT NOTICE

Thank you for visiting the D. E. Shaw group's website and viewing this California Consumer Privacy Act Notice (this "**CCPA Notice**"). When we collect personal information from California residents we become subject to, and those residents have rights under, the California Consumer Privacy Act ("**CCPA**"). This CCPA Notice supplements our [Privacy Statement](#) and is used to allow us to fulfill our CCPA obligations and explain your CCPA rights. For purposes of this CCPA Notice, the words "**you**" and "**your**" mean only such California residents. Certain terms used in this CCPA Notice have the meaning given to them in the Privacy Statement.

This CCPA Notice is effective June 22, 2020.

What Personal Information Did We Collect from California Residents?

We collected the following categories of personal information within the last 12 months:

- **identifiers** such as name, address, IP address, and other similar identifiers;
- **personal information under the Customer Records provision of the California Civil Code** such as a name, address, and telephone number;
- **characteristics of protected classifications** such as gender and citizenship status;
- **commercial information** such as fund subscriptions;
- **biometric information** such as fingerprints;
- **internet/electronic activity** such as browsing history and search history;
- **audio, video, electronic** or other similar information;
- **professional or employment related information** such as job history and performance evaluations; and
- **education information.**

What Personal Information did we disclose for a business purpose?

We may have disclosed the categories of personal information listed above for one or more business purposes permitted by the CCPA during the last 12 months.

What Personal Information did we sell?

We do not sell, and within the last 12 months have not sold, personal information to third parties.

What sources did we obtain Personal Information from and why did we collect it?

Please review the section of our [Privacy Statement](#) – "What Information Are We Collecting and How Are We Using It?" to understand the scope of purposes and the sources from which we collect personal information. Similarly, you can find information about the categories of third parties with which we may share your personal information and why we share it in the "Sharing Information with Others: Who and Why" section of our Privacy Statement.

Other Related Notices

If you are an investor in our funds, click [here](#) to view our CCPA Investor Privacy Notice to learn more about our collection and use practices specific to investors.

If you have applied for an open position with us, click [here](#) to view our CCPA Recruitment Privacy Notice to learn more about our collection and use practices specific to job applicants.

Rights of California Residents

You have certain rights under the CCPA as described below. We would like you to know that if you exercise these rights, we will not discriminate against you by treating you differently from other California residents who use our website but did not exercise their rights.

- **Right to Know** – the right to request that we disclose to you, specifically beyond the general statement immediately above, the categories and specific elements of personal information collected, including the source of the information, our use of it, and, if the information was disclosed or sold to third parties, the categories so

disclosed or sold as well as the categories of third party who received or purchased it.

- **Right to Request Deletion** – the right, under certain circumstances, to request that we delete the personal information we collected from you.

You, or an authorized agent acting on your behalf, can exercise the Right to Know up to two different times every 12 months. To exercise any of these rights, contact us at privacy@deshaw.com or **1-800-382-7429**. We may ask you to fill out a request form. The CCPA only allows us to act on your request if we can verify your identity and/or your agent's authority to make the request, so you will also need to follow our instructions for identity verification.

If you make a verifiable request in accordance with the above, we will confirm our receipt and respond to your request in the time frames prescribed by the CCPA.

Updates to this CCPA Notice

We reserve the right to update this CCPA Notice from time to time. Please check our website periodically for such updates since all information collected as described herein is subject to the CCPA Notice in place at the time of collection. Typically, we will indicate the effective/amendment date at the beginning of this CCPA Notice. If we feel it is appropriate, or if the law requires, we'll also provide a summary of changes we've made in the new CCPA Notice.